

Identification

REGION 1>VHA>VISN
19>Salt Lake
City>HCS>PIA>LAN

029-00-02-00-01-1120-00

OMB Unique System / Application / Program
Identifier (AKA: UPID #):

The Local Area Network (LAN) provides internal and external network connectivity for users to access major VA applications at the VASLCHCS.

Applications include VISTA/CPRS, Windows 2007 Active Directory, Microsoft Exchange application and database servers, and the PBX systems. These systems use the transport capabilities of the LAN to move data between various elements of the system. All users associated with VASLCHCS use the LAN as the primary transport medium for exchange of data with internal and external sources and clients.

Description of System / Application / Program:

VA Salt Lake City health

Care System

Facility Name:			
Title:			
Privacy Officer:	Frankie Marks	801-582-1565	frances.marks@va.gov
Information Security Officer:	Carl Worstell	801-584-2560	carl.worstell@va.gov

Chief Information Officer: Lisa Leonelli 801-584-1208 lisa.leonelli@va.gov
Person Completing Document: Carl Worstell 801-584-2560 carl.worstell@va.gov

Other Titles:

Other Titles:

Date of Last PIA Approved by VACO Privacy Services: (MM/YYYY) 08/2008

Date Approval To Operate Expires: 08/2011

What specific legal authorities authorize this program or system: Title 38 US Code, Section 7301

What is the expected number of individuals that will have their PII stored in this system: The LAN system does not store any PII.

Identify what stage the System / Application / Program is at: Operational/Maintenance

The approximate date (MM/YYYY) the system will be operational (if in the Design or Development stage), or the approximate number of years the system/application/program has been in operation. Operational 20 plus years

Is there an authorized change control process which documents any changes to existing applications or systems? Yes

If No, please explain:

Has a PIA been completed within the last three years? Yes

Date of Report (MM/YYYY): 12/2009

Please check the appropriate boxes and continue to the next TAB and complete the remaining questions on this form.

- Have any changes been made to the system since the last PIA?
- Is this a PIV system/application/program collecting PII data from Federal employees, contractors, or others performing work for the VA?
- Will this system/application/program retrieve information on the basis of name, unique identifier, symbol, or other PII data?
- Does this system/application/program collect, store or disseminate PII/PHI data?
- Does this system/application/program collect, store or disseminate the SSN?

If there is no Personally Identifiable

**Information on your system, please skip to
TAB 12. (See Comment for Definition of PII)**

(FY 2010) PIA: System of Records

Is the data maintained under one or more approved System(s) of Records?

if the answer above is no, please skip to row 16.

For each applicable System(s) of Records, list:

1. All System of Record Identifier(s) (number):
2. Name of the System of Records:
3. Location where the specific applicable System of Records Notice may be accessed (include the URL):

Have you read, and will the application, system, or program comply with, all data management practices in the System of Records Notice(s)?

Does the System of Records Notice require modification or updating?

(Please Select Yes/No)

Is PII collected by paper methods?

Is PII collected by verbal methods?

Is PII collected by automated methods?

Is a Privacy notice provided?

Proximity and Timing: Is the privacy notice provided at the time of data collection?

Purpose: Does the privacy notice describe the principal purpose(s) for which the information will be used?

Authority: Does the privacy notice specify the effects of providing information on a voluntary basis?

Disclosures: Does the privacy notice specify routine use(s) that may be made of the information?

(FY 2010) PIA: Notice

Please fill in each column for the data types selected

Data Type	Collection Method	What will the subjects be told about the information collection?	How is this message conveyed to them?	How is a privacy notice provided?
Veteran or Primary Subject's Personal				
Contact Information (name, address, telephone, etc)				
Family Relation (spouse, children, parents, grandparents, etc)				
Service Information				
Medical Information				
Criminal Record Information				
Guardian Information				
Education Information				
Benefit Information				
Other (Explain)				
Veteran or Primary Subject's Personal				
Contact Information (name, address, telephone, etc)				
Family Relation (spouse, children, parents, grandparents, etc)				
Service Information				
Medical Information				
Criminal Record Information				
Guardian Information				
Education Information				
Benefit Information				
Other (Explain)				
Other (Explain)				
Other (Explain)				

Data Type	Is Data Type Stored on your system?	Source (If requested, identify the specific file, entity and/or name of agency)	Is data collection Mandatory or Voluntary?	Additional Comments

(FY 2010) PIA: Data Sharing

Organization	Name of Agency/Organization	Do they access this system?	Identify the type of Data Sharing and its purpose.	Is PII or PHI Shared?	What is the procedure you reference for the release of information?
Internal Sharing: VA Organization					
Other Veteran Organization					
Other Federal Government Agency					
State Government Agency					
Local Government Agency					
Research Entity					
Other Project / System					
Other Project / System					
Other Project / System					

(FY 2010) PIA: Access to Records

Does the system gather information from another system?
Please enter the name of the system:

Per responses in Tab 4, does the system gather information from an individual?

If information is gathered from an individual, is the information provided:
 Through a Written Request
 Submitted in Person
 Online via Electronic Form

Is there a contingency plan in place to process information when the system is down?

(FY 2010) PIA: Secondary Use

Will PII data be included with any secondary use request?
 Drug/Alcohol Counseling
 Mental Health
 HIV

If yes, please check all that apply:
 Research
 Stickle Cell
 Other (Please Explain)

Describe process for authorizing access to this data.
Answer:

(FY 2010) PIA: Program Level Questions

Does this PIA form contain any sensitive information that could cause harm to the Department of Veterans Affairs or any party if disclosed to the public?

If Yes, Please Specify:

Explain how collected data are limited to required elements:

Answer:

How is data checked for completeness?

Answer:

What steps or procedures are taken to ensure the data remains current and not out of date?

Answer:

How is new data verified for relevance, authenticity and accuracy?

Answer:

Additional Information: (Provide any necessary clarifying information or additional explanation for this section.)

Answer:

(FY 2010) PIA: Retention & Disposal

What is the data retention period?

Answer:

Explain why the information is needed for the indicated retention period?

Answer:

What are the procedures for eliminating data at the end of the retention period?

Answer:

Where are these procedures documented?

Answer:

How are data retention procedures enforced?

Answer:

Has the retention schedule been approved by the National Archives and Records Administration (NARA)

Additional Information: (Provide any necessary clarifying information or additional explanation for this section.)

Answer:

(FY 2010) PIA: Children's Online Privacy Protection Act (COPPA)

Will information be collected through the Internet from children under age 13?

If Yes, How will parental or guardian approval be obtained?

Answer:

(FY 2010) PIA: Security

Is the system/application/program following IT security requirements and procedures required by federal law and policy to ensure that information is appropriately secured.

Has the system/application/program conducted a risk assessment, identified appropriate security controls to protect against that risk, and implemented those controls.

Is security monitoring conducted on at least a quarterly basis to ensure that controls continue to work properly, safeguarding the information?

Is security testing conducted on at least a quarterly basis to ensure that controls continue to work properly, safeguarding the information?

Are performance evaluations conducted on at least a quarterly basis to ensure that controls continue to work properly, safeguarding the information?

If 'No' to any of the 3 questions above, please describe why:

Answer: Is adequate physical security in place to protect against unauthorized access?

If 'No' please describe why:

Answer: Explain how the project meets IT security requirements and procedures required by federal law.

Answer:

Explain what security risks were identified in the security assessment? (Check all that apply)

- | | |
|---|--|
| <input type="checkbox"/> Air Conditioning Failure | <input type="checkbox"/> Hardware Failure |
| <input type="checkbox"/> Chemical/Biological Contamination | <input type="checkbox"/> Malicious Code |
| <input type="checkbox"/> Blackmail | <input type="checkbox"/> Computer Misuse |
| <input type="checkbox"/> Bomb Threats | <input type="checkbox"/> Power Loss |
| <input type="checkbox"/> Cold/Frost/Snow | <input type="checkbox"/> Sabotage/Terrorism |
| <input type="checkbox"/> Communications Loss | <input type="checkbox"/> Storms/Hurricanes |
| <input type="checkbox"/> Computer Intrusion | <input type="checkbox"/> Substance Abuse |
| <input type="checkbox"/> Data Destruction | <input type="checkbox"/> Theft of Assets |
| <input type="checkbox"/> Data Disclosure | <input type="checkbox"/> Theft of Data |
| <input type="checkbox"/> Data Integrity Loss | <input type="checkbox"/> Vandalism/Rioting |
| <input type="checkbox"/> Denial of Service Attacks | <input type="checkbox"/> Errors (Configuration and Data Entry) |
| <input type="checkbox"/> Farshakes | <input type="checkbox"/> Burglary/Break In/Robbery |
| <input type="checkbox"/> Eavesdropping/Interception | <input type="checkbox"/> Identity Theft |
| <input type="checkbox"/> Fire (False Alarm, Major, and Minor) | <input type="checkbox"/> Fraud/Embezzlement |
| <input type="checkbox"/> Flooding/Water Damage | |

Answer: (Other Risks)

Explain what security controls are being used to mitigate these risks. (Check all that apply)

- | | |
|---|--|
| <input type="checkbox"/> Risk Management | <input type="checkbox"/> Audit and Accountability |
| <input type="checkbox"/> Access Control | <input type="checkbox"/> Configuration Management |
| <input type="checkbox"/> Awareness and Training | <input type="checkbox"/> Identification and Authentication |
| <input type="checkbox"/> Continuum Planning | <input type="checkbox"/> Incident Response |
| <input type="checkbox"/> Physical and Environmental Protection | <input type="checkbox"/> Media Protection |
| <input type="checkbox"/> Personnel Security | |
| <input type="checkbox"/> Certification and Accreditation Security Assessments | |

Answer: (Other Controls)

PIA: PIA Assessment

Identify what choices were made regarding the project/system or collection of information as a result of performing the PIA.

Answer:

Availability Assessment: If the data being collected is not available to process for any reason what will the potential impact be upon the system or organization?
(Choose One)

The potential impact is high if the loss of availability could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.

The potential impact is moderate if the loss of availability could be expected to have a serious adverse effect on operations, assets or individuals.

The potential impact is low if the loss of availability could be expected to have a limited adverse effect on operations, assets or individuals.

Integrity Assessment: If the data being collected has been corrupted for any reason what will the potential impact be upon the system or organization?
(Choose One)

The potential impact is high if the loss of availability could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.

The potential impact is moderate if the loss of availability could be expected to have a serious adverse effect on operations, assets or individuals.

The potential impact is low if the loss of availability could be expected to have a limited adverse effect on operations, assets or individuals.

Confidentiality Assessment: If the data being collected has been shared with unauthorized individuals what will the potential impact be upon the system or organization?
(Choose One)

The potential impact is high if the loss of availability could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.

The potential impact is moderate if the loss of availability could be expected to have a serious adverse effect on operations, assets or individuals.

The potential impact is low if the loss of availability could be expected to have a limited adverse effect on operations, assets or individuals.

The controls are being considered for the project based on the selections from the previous assessments?
FALSE

Please add additional controls:

(FY 2010) PIA: Additional Comments

Add any additional comments on this tab for any question in the form you want to comment on. Please indicate the question you are responding to and then add your comments.



Explain what minor application that are associated with your installation? (Check all that apply)

Records Locator System	Education Training Website	Appraisal System
Veterans Assistance Discharge System	VR&E Training Website	Web Electronic Lender Identification
(VADS)	VA Reserve Educational Assistance Program	CONDO PUD Builder
LGY Processing	Web Automated Verification of Enrollment	Centralized Property Tracking System
Loan Service and Claims	Right Now Web	Electronic Appraisal System
LGY Home Loans	VA Online Certification of Enrollment (VA-ONCE)	Web LGV
Search Participant Profile (SPP)	Automated Folder Processing System (AFPS)	Access Manager
Control of Veterans Records (COVERS)	Personal Computer Generated Letters (PCGL)	SAHSHA
SHARE	Personnel Information Exchange System (PIES)	VBA Data Warehouse
Rating Board Automation 2000 (RBA2000)	Rating Board Automation 2000 (RBA2000)	Distribution of Operational Resources (DOOR)
State of Case/Supplemental (SOC/SSOC)	SHARE	Enterprise Wireless Messaging System (BlackBerry)
Awards	State Benefits Reference System Training and Performance Support System (TPSS)	VBA Enterprise Messaging System
Financial and Accounting System (FAS)	Veterans Appeals Control and Locator System (VACOLS)	LGY Centralized Fax System
Eligibility Verification Report (EVR)	Veterans On-Line Applications (VONAPP)	Review of Quality (ROQ) Automated Sales Reporting (ASR)
Automated Medical Information System (AMIS)290	Automated Medical Information Exchange II (AIME II)	Electronic Card System (ECS)
Web Automated Reference Material System (WARMS)	Committee on Waivers and Compromises (COWC)	Electronic Payroll Deduction (EPD)
Automated Standardized Performance Elements Nationwide (ASPEN)	Common Security User Manager (CSUM)	Financial Management Information System (FMI)
Inquiry Routing Information System (IRIS)	Compensation and Pension (C&P) Record Interchange (CAPRI) Control of Veterans Records (COVERS)	Purchase Order Management System (POMS)
Web Service Medical Records (WebSMR)	Corporate Waco, Indianapolis, Newark, Roanoke, Seattle (Corporate WINRS)	Veterans Canteen Web
Systematic Technical Accuracy Review (STAR)	Fiduciary Beneficiary System (FBS)	Veterans Canteen Web
Fiduciary STAR Case Review	Hearing Officer Letters and Reports System (HOLAR)	Veterans Canteen Web
(VERIS)	Web Automated Folder Processing System (WAFPS)	Inventory Management System (IMS)
Courseware Delivery System (CDS)	Awards	Inventory Management System (IMS)
Electronic Performance Support System (EPSS)	Actuarial	Script Pro
Veterans Service Representative (VSR) Advisor	Insurance Self Service	BCMA Contingency Machines
Loan Guaranty Training Website	Insurance Unclaimed Liabilities	VIC
C&P Training Website	Insurance Online	MUSE

Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.

Minor app #1	
Name	
Description	
Comments	
Is PII collected by this min or application?	
<input type="checkbox"/>	
Does this minor application store PII?	
<input type="checkbox"/>	
If yes, where?	
Who has access to this data?	

Minor app #2	
Name	
Description	
Comments	
Is PII collected by this min or application?	
<input type="checkbox"/>	
Does this minor application store PII?	
<input type="checkbox"/>	
If yes, where?	
Who has access to this data?	

Minor app #3	
Name	
Description	
Comments	
Is PII collected by this min or application?	
<input type="checkbox"/>	
Does this minor application store PII?	
<input type="checkbox"/>	
If yes, where?	
Who has access to this data?	

Baker System	Veterans Assistance Discharge System (VADS)
Dental Records Manager	VBA Training Academy
Sidexis	Veterans Service Network (VETSNET) Waco Indianapolis, Newark, Roanoke, Seattle (WINRS)
Priv Plus	BIRLS
Mental Health Assistant	Centralized Accounts Receivable System (CARS)
Telecare Record Manager	Compensation & Pension (C&P)
Omnicell	Corporate Database
Powerscribe Dictation System	Control of Veterans Records (COVERS)
EndoSoft	Data Warehouse
Compensation and Pension (C&P)	INS - BIRLS
Montgomery GI Bill	Mobilization
Vocational Rehabilitation & Employment (VR&E) CH 31	Master Veterans Record (MVR)
Post Vietnam Era educational Program (VEAP) CH 32	Spinal Bifida Program Ch 18
Reinstatement Entitlement Program for Survivors (RAPS)	BDN Payment History
Educational Assistance for Members of the Selected Reserve Program CH 1606	
Reserve Educational Assistance Program CH 1607	
Compensation & Pension Training Website	
Web-Enabled Approval Management System (WEAMS)	
FOCAS Work Study Management System (WSMS)	
Benefits Delivery Network (BDN) Integrated Data and Accounting (PAID)	
Personnel Information Exchange System (PIES)	
Rating Board Automation 2000 (RBA2000)	
SHARE Service Member Records Tracking System	

FY 2010) PIA: VISTA Minor Applications

Explain what minor application that are associated with your installation? (Check all that apply)

INPATIENT MEDICATIONS	DRUG ACCOUNTABILITY	ACCOUNTS RECEIVABLE
INTAKE/OUTPUT	DSS EXTRACTS	APP PLANNING (PLANNMAN)
INTEGRATED BILLING	EDUCATION TRACKING	ADVERSE REACTION TRACKING
INTEGRATED PATIENT FUNDS	EEO COMPLAINT TRACKING	ASISTS
INTERIM MANAGEMENT	ELECTRONIC SIGNATURE	AUTHORIZATION/SUBSCRIPTION
SUPPORT	ENGINEERING	AUTO REPLENISHMENT/WARD STOCK
KIDNS	ENROLLMENT APPLICATION	AUTOMATED INFO COLLECTION SYS
LAB SERVICE	EQUIPMENT/TURN-IN REQUEST	AUTOMATED LAB INSTRUMENTS
LETTERMAN	EVENT CAPTURE	AUTOMATED MED INFO EXCHANGE
LEXICON UTILITY	EVENT DRIVEN REPORTING	BAR CODE MED ADMIN
LIBRARY	EXTENSIBLE EDITOR	BED CONTROL
LIST MANAGER	EXTERNAL PEER REVIEW	BENEFICIARY TRAVEL
MAILMAN	FEE BASIS	CAPACITY MANAGEMENT - RUM
MASTER PATIENT INDEX	FUNCTIONAL	CAPRI
VISTA	INDEPENDENCE	CAPACITY MANAGEMENT TOOLS
MCCR NATIONAL	GEN. MED. REC. - GENERATOR	CARE MANAGEMENT
DATABASE	GEN. MED. REC. - I/O	CARE MANAGEMENT
MEDICINE	GEN. MED. REC. - VITALS	CLINICAL CASE REGISTRIES
MENTAL HEALTH	GENERIC CODE SHEET	CLINICAL INFO RESOURCE NETWORK
MICOM	GRECC	CLINICAL MONITORING SYSTEM
MINIMAL PATIENT	HEALTH DATA & INFORMATICS	CLINICAL PROCEDURES
MYHEALTHHEVET	HEALTH LEVEL SEVEN	CLINICAL REMINDERS
Missing Patient Reg (Original)	HEALTH SUMMARY	CMOP
A&L	HINDQ	CONSULT/REQUEST TRACKING
NATIONAL DRUG FILE	HOSPITAL BASED HOME	CONTROLLED SUBSTANCES
NDBI	ICR - IMMUNOLOGY CASE	CPT/HCPFS CODES
NETWORK HEALTH	CARE	CREDENTIALS TRACKING
EXCHANGE	REGISTRY	DENTAL
NOIS	IFCAP	DIETETICS
NURSING SERVICE	IMAGING	DISCHARGE SUMMARY
OCCURRENCE SCREEN	INCIDENT REPORTING	DRG GROUPER
ONCOLOGY	INCOME VERIFICATION	INCOMPLETE RECORDS
ORDER ENTRY/RESULTS	MATCH	TRACKING
REPORTING		

Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.

Minor app #1	
<input type="text"/> <input type="text"/>	Who has access to this data?
<input type="text"/> <input type="text"/>	If yes, where?
<input type="text"/>	Does this minor application store PII?
<input type="text"/>	Is PII collected by this min or application?
<input type="text"/> <input type="text"/>	Name
<input type="text"/> <input type="text"/>	Description
<input type="text"/>	Comments

Minor app #2	
<input type="text"/> <input type="text"/>	Who has access to this data?
<input type="text"/> <input type="text"/>	If yes, where?
<input type="text"/>	Does this minor application store PII?
<input type="text"/>	Is PII collected by this min or application?
<input type="text"/> <input type="text"/>	Name
<input type="text"/> <input type="text"/>	Description
<input type="text"/>	Comments

Minor app #3	
<input type="text"/> <input type="text"/>	Who has access to this data?
<input type="text"/> <input type="text"/>	If yes, where?
<input type="text"/>	Does this minor application store PII?
<input type="text"/>	Is PII collected by this min or application?
<input type="text"/> <input type="text"/>	Name
<input type="text"/> <input type="text"/>	Description
<input type="text"/>	Comments

TOOL	
SHIFT CHANGE HANDOFF	
SECURITY SUITE UTILITY PACK	
SCHEDULING	
SAGG	
RUN TIME LIBRARY	
RPC BROKER	
SYSTEM	
REMOTE ORDER/ENTRY	
RELEASE OF INFORMATION - DSSI	CARE TRACKER
REGISTRATION	WOMEN'S HEALTH
RECORD TRACKING	VOLUNTARY TIMEKEEPING NATIONAL
MEDICINE	
RADIOLOGY/NUCLEAR	VOLUNTARY TIMEKEEPING
QUASAR	ANRV
CHECKLIST	VISUAL IMPAIRMENT SERVICE TEAM
QUALITY IMPROVEMENT	VISTALINK SECURITY
INTEGRATION	
QUALITY ASSURANCE	VISTALINK
PROSTHETICS	VISIT TRACKING
PROGRESS NOTES	VHS&RA ADP TRACKING SYSTEM
PROBLEM LIST	VENDOR - DOCUMENT STORAGE SYS
POLICE & SECURITY	VDEF
PRACTICE	
PHARMACY PRESCRIPTION	VBEGS
DATABASE	
PHARMACY NATIONAL	VA FILEMAN
MANAGEMENT	
PHARMACY DATA	VA CERTIFIED COMPONENTS - DSSI
MANAGEMENT	
PHARMACY BENEFITS	UTILIZATION REVIEW
PCE PATIENT/IHS SUBSET	UTILIZATION MANAGEMENT ROLLUP
ENCOUNTER	
PCE PATIENT CARE	UNWINDER
PATIENT REPRESENTATIVE	TOOLKIT
PATIENT FEEDBACK	TEXT INTEGRATION UTILITIES
PATIENT DATA EXCHANGE	SURVEY GENERATOR
PATCH MODULE	SURGERY
PAID	SPINAL CORD DYSFUNCTION
OUTPATIENT PHARMACY	SOCIAL WORK

(FY 2010) PIA: Minor Applications

Add any information concerning minor applications that may be associated with your system. Please indicate the name of the minor application, a brief description, and any comments you may wish to include. If you have more than 3 minor applications please copy then below sections as many times as needed.

Minor app #1	
Who has access to this data?	
If yes, where?	
Does this minor application store PII?	
Is PII collected by this min or application?	
Name	Description
Comments	

Minor app #2	
Who has access to this data?	
If yes, where?	
Does this minor application store PII?	
Is PII collected by this min or application?	
Name	Description
Comments	

Minor app #3	
Who has access to this data?	
If yes, where?	
Does this minor application store PII?	
Is PII collected by this min or application?	
Name	Description
Comments	

(FY 2010) PIA: Final Signatures

Facility Name: VA Salt Lake City health Care System

Title	Name	Phone	Email
Privacy Officer:	Frankie Marks	801-582-1565	frances.marks@va.gov
<i>Frances Marks</i>			
Information Security Officer:	Carl Worstell	801-584-2560	carl.worstell@va.gov
<i>Carl Worstell</i>			
Chief Information Officer:	Lisa Leonelli	801-584-1208	lisa.leonelli@va.gov
<i>Lisa Leonelli</i>			
Person Completing Document:	Carl Worstell	801-584-2560	carl.worstell@va.gov
<i>Carl Worstell</i>			
System / Application / Program Manager:	Todd Will	801-582-1565	todd.will@va.gov
<i>Todd Will</i>			

Date of Report: 12/1/2009

OMB Unique Project Identifier 029-00-02-00-01-1120-00

REGION 1>VHA>VISN 19>Salt Lake

Project Name City>HCS>PIA>LAN